# State Bar Court of California Hearing Department Los Angeles



(for Court's use) Counsel For The State Bar Case Number (s) 04-0-14630 PUBLIC MATTER AGUSTIN HERNANDEZ OFFICE OF THE CHIEF TRIAL COUNSEL 1149 South Hill Street Los Angeles, CA 90015-2299 Bar # 161625 Counsel For Respondent STATE BAR COURT CLERK'S OFFICE MICHAEL G. GERNER LOS ANGELES 10100 Santa Monica Blvd, Ste. 300 Los Angeles, CA 90067. Submitted to: Assigned Judge Bar # 65906 In the Matter Of: STIPULATION RE FACTS, CONCLUSIONS OF LAW AND S. SEAN BRAL DISPOSITION AND ORDER APPROVING Bar # 190489 PUBLIC REPROVAL A Member of the State Bar of California PREVIOUS STIPULATION REJECTED (Respondent)

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

# A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted **December 2, 1997**.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 8 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".
- (6) The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."
- (7) No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.

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(2)	(b) (c) (d) (e)	Dish cond	State Bar Court case # of prior case  Date prior discipline effective  Rules of Professional Conduct/ State Bar Act violations:  Degree of prior discipline  If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline.  Ionesty: Respondent's misconduct was surrounded by or followed by bad faith, dishonesty, realment, overreaching or other violations of the State Bar Act or Rules of Professional Conduct.  St Violation: Trust funds or property were involved and Respondent refused or was unable to account the client or person who was the object of the misconduct for improper conduct toward said funds or		
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(1)	(b) -		State Bar Court case # of prior case  Date prior discipline effective  Rules of Professional Conduct/ State Bar Act violations:  Degree of prior discipline		
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(1)	(b)		State Bar Court case # of prior case  Date prior discipline effective		
(1)			State Bar Court case # of prior case		
(1)					
/41		Prio	r record of discipline [see standard 1.2(f)]		
F		essio	ing Circumstances [for definition, see Standards for Attorney Sanctions for onal Misconduct, standard 1.2(b)]. Facts supporting aggravating circumstances red.		
	(c)	$\boxtimes$	A public reproval imposed on a respondent is publicly available as part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.		
	(b)		A private reproval imposed on a respondent after initiation of a State Bar Court proceeding is part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.		
			records, but is not disclosed in response to public inquiries and is not reported on the State Bar's web page. The record of the proceeding in which such a private reproval was imposed is not available to the public except as part of the record of any subsequent proceeding in which it is introduced as evidents of a prior record of discipline under the Rules of Procedure of the State Bar.		
	(a)		A private reproval imposed on a respondent as a result of a stipulation approved by the Court prior to initiation of a State Bar Court proceeding is part of the respondent's officials State Bar membership		
(9) The parties understand that:					
		(ha CO:	lowing the effective date of the disciplinary order herein. rdship, special circumstances or other good cause per rule 284, Rules of Procedure) sts waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs" sts entirely waived		
	$\boxtimes$	ca co	sts added to membership fee for calendar year following effective date of discipline (public reproval) se ineligible for costs (private reproval) sts to be paid in equal amounts for the following membership years: the next two (2) billing cycles		
		6140.7. (Check one option only):			
(8)	614	10.7. (			

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(4)		Harm: Respondent's misconduct harmed significantly a client, the public or the administration of justice.		
(5)		Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.		
(6)		<b>Lack of Cooperation:</b> Respondent displayed a lack of candor and cooperation to victims of his/her misconduct or to the State Bar during disciplinary investigation or proceedings.		
(7)		Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct.		
(8)	$\boxtimes$	No aggravating circumstances are involved.		
Add	ition	al aggravating circumstances:		
		gating Circumstances [see standard 1.2(e)]. Facts supporting mitigating imstances are required.		
(1)		<b>No Prior Discipline:</b> Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious.		
(2)		No Harm: Respondent did not harm the client or person who was the object of the misconduct.		
(3)		Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings.		
(4)		<b>Remorse:</b> Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.		
(5)		<b>Restitution:</b> Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.		
6)		<b>Delay:</b> These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.		
7)		Good Faith: Respondent acted in good faith.		
8)		<b>Emotional/Physical Difficulties</b> : At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities.		
9)		<b>Severe Financial Stress</b> : At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.		
10)		Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.		
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(11)	) 🗆	Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct.
(12)	) [	<b>Rehabilitation</b> : Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.
(13)		No mitigating circumstances are involved.
Ado	lition	al mitigating circumstances:
D.	Disc	cipline:
(1)		Private reproval (check applicable conditions, if any, below)
	(a)	Approved by the Court prior to initiation of the State Bar Court proceedings (no public disclosure).
<u>or</u>	(b)	Approved by the Court after initiation of the State Bar Court proceedings (public disclosure).
(2)	$\boxtimes$	Public reproval (Check applicable conditions, if any, below)
E. C	onc	litions Attached to Reproval:
(1)	$\boxtimes$	Respondent must comply with the conditions attached to the reproval for a period of one year.
(2)	$\boxtimes$	During the condition period attached to the reproval, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.
(3)		Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.
(4)		Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.
(5)		Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the condition period attached to the reproval. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of the reproval during the preceding calendar quarter. Respondent must also state in each report whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 (thirty) days, that report must be submitted on the next following quarter date, and cover the extended period.
		In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the condition period and no later than the last day of the condition period.
(6)		Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance.
(Stipu	lation	form approved by SBC Executive Committee 10/16/00. Revised 12/16/2004; 12/13/2006.)

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the qu	g the period of probation, Respondent mu uarterly reports required to be submitted to he monitor.	st furn o the C	ish such reports as may be requested, in addition to iffice of Probation. Respondent must cooperate fully
inquiri directe	Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the conditions attached to the reproval.		
(8) Within one (1) year of the effective date of the discipline herein, Respondent must provide Probation satisfactory proof of attendance at a session of the Ethics School, and passage at the end of that session.			
	No Ethics School recommended. Reason	n:	
must s	Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.		
("MPR			tistate Professional Responsibility Examination Bar Examiners, to the Office of Probation within one
ПΝ	o MPRE recommended. Reason:		
(11) The fo	llowing conditions are attached hereto an	d incor	porated:
	Substance Abuse Conditions		Law Office Management Conditions
	Medical Conditions		Financial Conditions
F. Other Cond	ditions Negotiated by the Parties	s:	
None.			
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Attachment language (if any):

#### FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified Rules of Professional Conduct.

#### FACTS:

- 1. On December 20, 2000, Henry Miranda ("Mr. Miranda"), and his wife, Alice Miranda ("Mrs. Miranda"), and their three grandchildren, Daniel Hearn ("Daniel"), Tommy Hearn ("Tommy"), and Steven Hearn ("Steven") employed Respondent to represent them in an uninsured motorist claim for personal injuries sustained in an auto accident that occurred on October 23, 2000 ("auto accident claim"). Respondent agreed to represent them on a contingency fee basis. Daniel, Tommy and Steven were minors at the time of the accident, and their mother, Belinda Hearn ("Hearn"), employed Respondent on their behalf.
- 2. At the time of the accident that gave rise to the auto accident claim, Mrs. Miranda was the driver of the vehicle, and Mr. Miranda, Daniel, Tommy and Steven were passengers. Therefore, they had potentially conflicting interests.
- 3. At no time did Respondent obtain Mr. Miranda's, Mrs. Miranda's, Daniel's, Tommy's and Steven's informed written consent to represent each of them in the auto accident claim.

### CONCLUSIONS OF LAW:

4. By failing to obtain Mr. Miranda's, Mrs. Miranda's, Daniel's, Tommy's and Steven's informed written consent to represent each of them in the auto accident claim, Respondent accepted representation of more than one client in a matter in which the interests of the clients potentially conflicted without the informed written consent of each client in willful violation of Rules of Professional Conduct, rule 3-310(C)(1).

#### SUPPORTING AUTHORITY.

Standard 1.3, Title IV, Standards for Attorney Sanctions for Professional Misconduct, provides that the primary purposes of the disciplinary system are: "the protection of the public, the courts and the legal profession; the maintenance of high professional standards by attorneys and the preservation of public confidence in the legal profession."

Recently, the Supreme Court emphasized the importance of the standards and held that great weight should be given to the application of the standards in determining the appropriate level of discipline. The Court indicated that unless it has "grave doubts as to the propriety of the recommended discipline," it will uphold the application of the standards. In re Silverton (2005) 36 Cal. 4th 81, 91-92.

Standard 2.10 provides that a violation of any provision or rule of the Business and Professions Code or Rules of Professional Conduct "not specified in these standards shall result in reproval or suspension according to the gravity of the offense or the harm, if any, to the victim, with due regard to the purposes of imposing discipline set forth in standard 1.3."

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In this case, there is no compelling reason or mitigating circumstances that would justify a deviation from the standards.

# PENDING PROCEEDINGS.

The disclosure date referred to, on page one, paragraph A.(7), was August 8, 2007.

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In the Matter of	Case number(s):
S. SEAN BRAL	04-0-14630
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### SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Fact, Conclusions of Law and Disposition.

8-21-07		
	//	S. SEAN BRAL
Date	Respóndent's Signature	Print Name
8-27-07	The second second	MICHAEL G. GERNER
Date,	Respondent's Counsel Signature	Print Name
8/29/07	alll	AGUSTIN HERNANDEZ
Date	Deputy Trial Counsel's Signature	Print Name
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In the Matter Of S. SEAN BRAL	Case Number(s): 04-0-14630	
	04-0-14050	
	ORDER	
Finding that the stipulation protect by any conditions attached to the counts/charges, if any, is GRANT	ts the public and that the interests of Respondent will be served reproval, IT IS ORDERED that the requested dismissal of ED without prejudice, and:	
The stipulated facts an IMPOSED.	nd disposition are APPROVED AND THE REPROVAL	
The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the REPROVAL IMPOSED.		
☐ All court dates in the F	learing Department are vacated.	
On page 2 of the stipulation at page	aragraph A.(8), the following language should be added:	
unpaid balance is due and	are to timely make any installment payment of restitution, the d payable immediately unless relief has been granted under the e State Bar of California. (Rules Proc. of State Bar, rule 286.)	
tipulation, filed within 15 days afte	lation as approved unless: 1) a motion to withdraw or modify the er service of this order, is granted; or 2) this court modifies or allation. (See rule 125(b), Rules of Procedure.) Otherwise the days after service of this order.	
Failure to comply with any cond separate proceeding for willful b	itions attached to this reproval may constitute cause for a preach of rule 1-110, Rules of Professional Conduct.	
9/20/07	Wmesditte	
Date	Judge of the State Bar Court	
	DONALD F. MILES	

## **CERTIFICATE OF SERVICE**

[Rule 62(b), Rules Proc.; Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on September 24, 2007, I deposited a true copy of the following document(s):

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

[X] by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

MICHAEL GALEN GERNER, ESQ. MICHAEL G GERNER, A PROF LAW CORP 10100 SANTA MONICA BLVD #300 LOS ANGELES, CA 90067

[X] by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

# AGUSTIN HERNANDEZ, ESQ., Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on **September 24, 2007**.

Rose M. Luthi
Case Administrator
State Bar Court