State Bar Court of California Hearing Department Los Angeles P

ACTUAL SUSPENSION

PUBLIC MATTER

| Counsel For The State Bar Rosalba L. Gutierrez Deputy Trial Counsel 1149 S. Hill Street Los Angeles, CA 90015 | Case Number(s): 11-H-16026-RAH; (Investigation No.) 11-O-15033 | For Court use only FILED |
|---|---|-------------------------------------|
| (213) 765-1671 | | JAN 20 2012 |
| Bar # 270469 | | STATE BAR COURT CLERK'S OFFICE |
| In Pro Per Respondent | | LOS ANGELES |
| Mark H. Galyean | | |
| Bar # 220617 | | |
| | Submitted to: Settlement Ju | udge |
| In the Matter of: Mark H. Galyean | STIPULATION RE FACTS, O DISPOSITION AND ORDER | CONCLUSIONS OF LAW AND APPROVING |
| Bar # 220617 | ACTUAL SUSPENSION | |
| A Member of the State Bar of California (Respondent) | ☐ PREVIOUS STIPULATION | ON REJECTED |

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted September 22, 2002.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 11 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".

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misconduct or to the State Bar during disciplinary investigation or proceedings.

Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her

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| (7) | | Multiple/Pattern of Misconduct: Respondent's current misconduct evidences multiple acts of wrongdoing or demonstrates a pattern of misconduct. | | | |
| (8) | | No aggravating circumstances are involved. | | | |
| Add | itiona | al aggravating circumstances: | | | |
| | _ | ating Circumstances [see standard 1.2(e)]. Facts supporting mitigating imstances are required. | | | |
| (1) | | No Prior Discipline: Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not deemed serious. | | | |
| (2) | | No Harm: Respondent did not harm the client or person who was the object of the misconduct. | | | |
| (3) | | Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct and to the State Bar during disciplinary investigation and proceedings. | | | |
| (4) | | Remorse: Respondent promptly took objective steps spontaneously demonstrating remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct. | | | |
| (5) | | Restitution: Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings. | | | |
| (6) | | Delay: These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her. | | | |
| (7) | | Good Faith: Respondent acted in good faith. | | | |
| (8) | | Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and Respondent no longer suffers from such difficulties or disabilities. | | | |
| (9) | | Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct. | | | |
| (10) | | Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature. | | | |
| (11) | | Good Character: Respondent's good character is attested to by a wide range of references in the legal and general communities who are aware of the full extent of his/her misconduct. | | | |
| (12) | | Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation. | | | |
| (13) | | No mitigating circumstances are involved. | | | |
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| Additional mitigating circumstances: | | | | |
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| <i>D.</i> 1 | JISC | ıpıııı | G. | |
| (1) | \boxtimes | Sta | yed Sı | uspension: |
| | (a) | \boxtimes | Res | pondent must be suspended from the practice of law for a period of one (1) year. |
| | | i. | | and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii) Standards for Attorney Sanctions for Professional Misconduct. |
| | | ii. | | and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation. |
| | | iii. | | and until Respondent does the following: |
| | (b) | | The | above-referenced suspension is stayed. |
| (2) | \boxtimes | Pro | bation |): |
| | Res | espondent must be placed on probation for a period of two (2) years, which will commence upon the effective te of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court) | | |
| (3) | ' ⊠ | Actual Suspension: | | |
| | (a) | | | condent must be actually suspended from the practice of law in the State of California for a period irty (30) days. |
| | | · i. | | and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and present fitness to practice and present learning and ability in the law pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct |
| | | ii. | | and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation. |
| | | iii. | | and until Respondent does the following: |
| E. <i>F</i> | Addi | tiona | al Co | nditions of Probation: |
| (1) | | If Respondent is actually suspended for two years or more, he/she must remain actually suspended until he/she proves to the State Bar Court his/her rehabilitation, fitness to practice, and learning and ability in the general law, pursuant to standard 1.4(c)(ii), Standards for Attorney Sanctions for Professional Misconduct. | | |
| (2) | \boxtimes | | | probation period, Respondent must comply with the provisions of the State Bar Act and Rules of hal Conduct. |

(3)

Within ten (10) days of any change, Respondent must report to the Membership Records Office of the

information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.

State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of

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| (5) | | Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request. Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the period of probation. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of probation during the preceding calendar quarter. Respondent must also state whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 days, that report must be submitted on the next quarter date, and cover the extended period. | | | | |
| | | | | | ining the same information, is due no earlier than robation and no later than the last day of probation. | |
| (6) | | Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish to the monitor such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the probation monitor. | | | | |
| (7) | \boxtimes | Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the probation conditions. | | | | |
| (8) | · 🖂 | Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session. | | | | |
| | | | No Ethics School recommended. Reaso | n: | • | |
| (9) | | Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation. | | | | |
| (10) | | The f | following conditions are attached hereto ar | nd inco | rporated: | |
| | | | Substance Abuse Conditions | | Law Office Management Conditions | |
| | | | Medical Conditions | \boxtimes | Financial Conditions | |
| F. O | the | r Cor | nditions Negotiated by the Parties | s: | | |
| (1) | | Multistate Professional Responsibility Examination: Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation during the period of actual suspension or within one year, whichever period is longer. Failure to pass the MPRE results in actual suspension without further hearing until passage. But see rule 9.10(b), California Rules of Court, and rule 5.162(A) & (E), Rules of Procedure. | | | | |
| | | | No MPRE recommended. Reason: | | | |
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| (2) | | Rule 9.20, California Rules of Court: Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter. |
| (3) | | Conditional Rule 9.20, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20 , California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter. |
| (4) | | Credit for Interim Suspension [conviction referral cases only]: Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension: |
| (5) | \boxtimes | Other Conditions: |
| | | 1. Respondent's timely fulfillment of Ethics School and MPRE conditions attached to the public reproval imposed in case number 10-O-04696 shall be deemed to satisfy those conditions imposed herein, even if such fulfillment pre-dates the effective date of discipline in this matter. |
| | | 2. Duty to Notify Individuals of Right to Mandatory Fee Arbitration |
| | • | No later than thirty (30) days after the effective date of discipline, Respondent agrees to send a letter by certified mail, return receipt requested, to Ms. Tahra Clifford ("Clifford") and agrees to participate in binding fee arbitration with Clifford, upon Clifford's request, regarding fees Respondent received for representation from Clifford, unless respondent has previously sent such a written offer to Clifford. |
| | | The letter shall include the address and phone number of the Office of Probation along with a statement that the Office of Probation will be monitoring his fee arbitration conditions. |
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| - 1 | the Matter of: fark H. Galyean | | Case Num 11-H-160 11-O-150 | 26-RAH; (Investigation N | lo.) |
| Fi | nancial Conditions | | | | - |
| a. | Restitution | | | | |
| | Respondent must pay restitution payee(s) listed below. If the Clor any portion of the principal amount(s) paid, plus applicable | lient Security Fund (" imount(s) listed belo | CSF") has r | eimbursed one or more of the | he payee(s) for all |
| | Payee | Principal Amount | | Interest Accrues From | |
| | Linda Berry | \$2,550 | | November 19, 2009 | |
| | | | | | |
| | | | | · | |
| b. | Respondent must pay above-re Probation not later than thirty Installment Restitution Payments | (30) days following | | | |
| | Respondent must pay the above-referenced restitution on the payment schedule set forth below. Respondent provide satisfactory proof of payment to the Office of Probation with each quarterly probation report as otherwise directed by the Office of Probation. No later than 30 days prior to the expiration of the period probation (or period of reproval), Respondent must make any necessary final payment(s) in order to continue payment of restitution, including interest, in full. | | | obation report, or on of the period of | |
| | Payee/CSF (as applicable) | Minimum Paymen | t Amount | Payment Frequency | |
| | | | | | |
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| | If Respondent fails to pay any installment as described above, or as may be modified by the State Bar Court the remaining balance is due and payable immediately. | | | | e State Bar Court, |
| c. | Client Funds Certificate | | | | • |
| | report, Respondent mu public accountant or ot | ist file with each requ her financial profess | ired report ional approv | g the period covered by a re a certificate from Responde red by the Office of Probatio ank authorized to do busines | nt and/or a certified n, certifying that: |
| | California, at a brai | | e State of C | alifornia, and that such acco | |

- b. Respondent has kept and maintained the following:
 - i. A written ledger for each client on whose behalf funds are held that sets forth:
 - 1. the name of such client:
 - 2. the date, amount and source of all funds received on behalf of such client;
 - 3. the date, amount, payee and purpose of each disbursement made on behalf of such client; and,
 - 4. the current balance for such client.
 - ii. a written journal for each client trust fund account that sets forth:
 - 1. the name of such account:
 - 2. the date, amount and client affected by each debit and credit; and,
 - 3. the current balance in such account.
 - iii. all bank statements and cancelled checks for each client trust account; and,
 - iv. each monthly reconciliation (balancing) of (i), (ii), and (iii), above, and if there are any differences between the monthly total balances reflected in (i), (ii), and (iii), above, the reasons for the differences.
- c. Respondent has maintained a written journal of securities or other properties held for clients that specifies:
 - i. each item of security and property held;
 - ii. the person on whose behalf the security or property is held;
 - iii. the date of receipt of the security or property;
 - iv. the date of distribution of the security or property; and,
 - v. the person to whom the security or property was distributed.
- 2. If Respondent does not possess any client funds, property or securities during the entire period covered by a report, Respondent must so state under penalty of perjury in the report filed with the Office of Probation for that reporting period. In this circumstance, Respondent need not file the accountant's certificate described above.
- 3. The requirements of this condition are in addition to those set forth in rule 4-100, Rules of Professional Conduct.

d. Client Trust Accounting School

| Within one (1) year of the effective date of the discipline herein, Respondent must supply to the Office of |
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| Probation satisfactory proof of attendance at a session of the Ethics School Client Trust Accounting School |
| within the same period of time, and passage of the test given at the end of that session. |

ATTACHMENT TO

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF:

Mark H. Galyean

CASE NUMBER(S):

11-H-16026-RAH; (Investigation No.)11-O-15033

FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

Case No. 11-H-16026

FACTS:

- 1. As a condition of the reproval in case No. 10-O-04696, Respondent was required to contact the Office of Probation and schedule a meeting with his assigned probation deputy no later than March 17, 2011. Respondent did not contact his assigned probation deputy until June 23, 2011. Additionally, Respondent was required to complete and submit written quarterly reports to the Office of Probation by the tenth day of January, April, July, and October of the one year condition period. Respondent did not submit the quarterly report that was due on April 10, 2011 until June 24, 2011, making it 75 days late.
- 2. As a condition of reproval, Respondent was required to pay restitution to client Linda Berry ("Berry") in the amount of \$2,550 plus interest, due by August 15, 2011. To date, Respondent has not paid Berry the restitution.

CONCLUSIONS OF LAW

3. By failing to submit a timely quarterly report and failing to pay Berry's restitution, Respondent failed to comply with conditions attached to his public reproval in willful violation of rule 1-110 of the Rules of Professional Conduct.

Case No. 11-O-15033 (Complainant: Tahra Clifford)

FACTS:

4. On May 21, 2011, Tahra Clifford ("Clifford") employed Respondent to represent her in a misdemeanor traffic case. Clifford paid Respondent \$1,600 in advanced legal fees. On May 23, 2011, Clifford cancelled Respondent's legal services. Respondent failed to timely provide Clifford with an accounting of the fees he received from Clifford. Upon termination, Clifford requested that Respondent return her client file. Respondent failed to timely return to Clifford her client file. Respondent provided Clifford with an accounting and her client file in December 2011.

CONCLUSIONS OF LAW

- 5. By failing to provide a timely accounting to Clifford upon termination of employment, Respondent failed to render appropriate accounts to a client regarding all funds coming into Respondent's possession in willful violation of rule 4-100(B)(3) of the Rules of Professional Conduct.
- 6. By failing to return Clifford's file for more than seven months after Clifford terminated Respondent and requested her client file, Respondent failed to release a client file in willful violation of rule 3-700 (D)(1) of the Rules of Professional Conduct.

PENDING PROCEEDINGS.

The disclosure date referred to, on page 2, paragraph A(7), was December 27, 2011.

AUTHORITIES SUPPORTING DISCIPLINE.

Standard 1.3 provides that the primary purposes of attorney discipline are, "the protection of the public, the courts and the legal profession; the maintenance of high legal professional standards by attorneys and the preservation of public confidence in the legal profession."

Standard 1.7(a) provides that if a member is found culpable of professional misconduct in any proceeding in which discipline may be imposed and the member has a record of one prior imposition of discipline as defined by standard 1.2(f), the degree of discipline imposed in the current proceeding shall be greater than that imposed in the prior proceeding unless the prior discipline imposed was so remote in time to the current proceeding and the offense for which it was imposed was so minimal in severity that imposing greater discipline in the current proceeding would be manifestly unjust.

Standard 2.9 provides that culpability of a member of a willful violation of rule 1-110, Rules of Professional Conduct, shall result in suspension.

Standard 2.10 provides that culpability of a member of a violation of any provision of the Business and Professions Code not specified in these standards or of a willful violation of any Rule of Professional conduct not specified in these standards shall result in reproval or suspension according to the gravity of the offense or the harm, if any, to the victim, with due regard to the purposes of imposing discipline set forth in standard 1.3. [rules 3-700(D)(1) and 3-700(D)(2)].

Based on the above-described standards, a 30-day actual suspension accompanied by a one-year stayed suspension and a two-year probationary period is appropriate to protect the public, the courts, and the profession.

COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of Chief Trial Counsel has informed Respondent that as of, December 27, 2011, the prosecution costs in this matter are approximately \$2,797. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

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| In the Matter of: Mark H. Galyean | Case number(s):(|
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SIGNATURE OF THE PARTIES

| | | |
|--|---|---|
| By their signatures below, recitations and each of the | the parties and their counsel as applicable. Agni e terms and conditions of this Sypulation Re Facts | fy their agreement with each of the , Conclusions of Law, and Disposition. |
| 12/27/2011 | Menh ful | Mark H. Galyean |
| Date* | Respondent's Signature | Print Name |
| Date | Respondent's Counsel Signature | Print Name |
| 17/7/2011 | | Rosalba L. Gutierrez |
| Date | Deputy Trial Counsel's Signature | Print Name |

The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the

• On page 4 of the stipulation, an "X" is inserted in the box at paragraph D.(1)(b).

DISCIPLINE IS RECOMMENDED to the Supreme Court.

All Hearing dates are vacated.

- On page 4 of the stipulation, an "X" is inserted in the box at paragraph D.(3)(a).
- On page 5 of the stipulation, the "X in the box at paragraph E.(8) is deleted and, instead, an "X" is
- inserted in the box next to "No Ethics School recommended." Furthermore, after "Reason," the following language is inserted, "As respondent is required to fulfill the Ethics School requirement in connection with the discipline imposed in case No. 10-O-04696 which was effective February 15, 2011."
- On page 5 of the stipulation, the "X" in the box at paragraph F.(1) is deleted and, instead, an "X" is inserted in the box next to "No MPRE recommended." Furthermore, after "Reason," the following language is inserted, "As respondent is required to fulfill the MPRE requirement in connection with the discipline imposed in case No. 10-O-04696 which was effective February 15, 2011."
- On page 6 of the stipulation, at paragraph F.(5), numbered paragraph 2, the following language is added, "A copy of the letter sent to Ms. Clifford must be sent to the State Bar's Office of Probation no later than 45 days after the effective date of discipline in this matter, unless respondent has previously provided the Office of Probation with a copy of such letter."
- On page 9 of the stipulation, at numbered paragraph 3, the following language is added: "Respondent also willfully violated rule 1-110 of the Rules of Professional Conduct by failing to timely contact the Office of Probation and schedule a meeting with his assigned probation deputy."

The parties are bound by the stipulation as approved unless: 1) a motion to withdraw or modify the stipulation, filed within 15 days after service of this order, is granted; or 2) this court modifies or further modifies the approved stipulation. (See rule 5.58(E) & (F), Rules of Procedure.) The effective date of this disposition is the effective date of the Supreme Court order herein, normally 30 days after file date. (See rule 9.18(a), California Rules of Court.)

1/18/12 WWW

Judge of the State Bar Court

K

CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on January 20, 2012, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

MARK H GALYEAN ESQ THE GALYEAN LAW GROUP 2 PALATINE ALISO VIEJO, CA 92656

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

Rosalba L. Gutierrez, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on January 20, 2012.

Julieta E. Gonzales

Case Administrator

State Bar Court