

# State Bar Court of California Hearing Department San Francisco REPROVAL

Counsel For The State Bar Case Number(s): For Court use only 14-C-05478-PEM Heather E. Abelson **Deputy Trial Counsel PUBLIC MATTER 180 Howard Street** San Francisco, CA 94105 (415) 538-2357 Bar # 243691 Counsel For Respondent AUG 1 8 2015 Brian H. Getz Law Offfice Brian H Getz STATE BAR COURT CLERK'S OFFICE 201 California St Ste 450 SAN FRANCISCO San Francisco, CA 94111 (415) 912-5886 Submitted to: Assigned Judge STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING Bar # 85593 In the Matter of: **PUBLIC REPROVAL** JOSEPH B. MARTIN PREVIOUS STIPULATION REJECTED Bar # 284156 A Member of the State Bar of California (Respondent)

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

# A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted August 10, 2012.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 11 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."

(Effective July 1,

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(5)		Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".				
(6)						
(7)						
(8)	Pa 61	Payment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 6140.7. (Check one option only):				
		re Ca Ca (H Re	osts are added to membership fee for calendar year following effective date of discipline (public proval).  ase ineligible for costs (private reproval).  osts are to be paid in equal amounts prior to February 1 for the following membership years: lardship, special circumstances or other good cause per rule 5.132, Rules of Procedure.) If espondent fails to pay any installment as described above, or as may be modified by the State Bar burt, the remaining balance is due and payable immediately.			
		Co	osts are waived in part as set forth in a separate attachment entitled "Partial Waiver of Costs".  Osts are entirely waived.			
(9)	The	The parties understand that:				
	(a)		A private reproval imposed on a respondent as a result of a stipulation approved by the Court prior to initiation of a State Bar Court proceeding is part of the respondent's official State Bar membership records, but is not disclosed in response to public inquiries and is not reported on the State Bar's web page. The record of the proceeding in which such a private reproval was imposed is not available to the public except as part of the record of any subsequent proceeding in which it is introduced as evidence of a prior record of discipline under the Rules of Procedure of the State Bar.			
	(b)		A private reproval imposed on a respondent after initiation of a State Bar Court proceeding is part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.			
	(c)	$\boxtimes$	A public reproval imposed on a respondent is publicly available as part of the respondent's official State Bar membership records, is disclosed in response to public inquiries and is reported as a record of public discipline on the State Bar's web page.			
Mis		duct	ing Circumstances [Standards for Attorney Sanctions for Professional , standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are			
(1)		Prio	r record of discipline			
	(a)		State Bar Court case # of prior case			
	(b)		Date prior discipline effective			
	(c)		Rules of Professional Conduct/ State Bar Act violations:			
	(d)		Degree of prior discipline			

(Do not write above this line.)			
	(e)	If Respondent has two or more incidents of prior discipline, use space provided below or a separate attachment entitled "Prior Discipline".	
(2)		<b>Intentional/Bad Faith/Dishonesty:</b> Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.	
(3)		Misrepresentation: Respondent's misconduct was surrounded by, or followed by misrepresentation.	
(4)		Concealment: Respondent's misconduct was surrounded by, or followed by concealment.	
(5)		Overreaching: Respondent's misconduct was surrounded by, or followed by overreaching.	
(6)		<b>Uncharged Violations:</b> Respondent's conduct involves uncharged violations of the Business and Professions Code or the Rules of Professional Conduct.	
(7)		<b>Trust Violation:</b> Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.	
(8)		Harm: Respondent's misconduct harmed significantly a client, the public, or the administration of justice.	
(9)		Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.	
(10)		Candor/Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct, or to the State Bar during disciplinary investigations or proceedings.	
(11)		Multiple Acts: Respondent's current misconduct evidences multiple acts of wrongdoing.	
(12)		Pattern: Respondent's current misconduct demonstrates a pattern of misconduct.	
(13)		Restitution: Respondent failed to make restitution.	
(14)		Vulnerable Victim: The victim(s) of Respondent's misconduct was/were highly vulnerable.	
(15)	$\boxtimes$	No aggravating circumstances are involved.	
Additional aggravating circumstances:			
C. Mitigating Circumstances [see standards 1.2(i) & 1.6]. Facts supporting mitigating circumstances are required.			
(1)		<b>No Prior Discipline:</b> Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.	
(2)		No Harm: Respondent did not harm the client, the public, or the administration of justice.	
(3)		<b>Candor/Cooperation:</b> Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct or to the State Bar during disciplinary investigations and proceedings.	

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(4)		<b>Remorse:</b> Respondent promptly took objective steps demonstrating spontaneous remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.		
(5)		<b>Restitution:</b> Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.		
(6)		<b>Delay:</b> These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.		
(7)		Good Faith: Respondent acted with a good faith belief that was honestly held and objectively reasonable.		
(8)		<b>Emotional/Physical Difficulties:</b> At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.		
(9)		<b>Severe Financial Stress:</b> At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.		
(10)		<b>Family Problems:</b> At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.		
(11)		Good Character: Respondent's extraordinarily good character is attested to by a wide range of reference in the legal and general communities who are aware of the full extent of his/her misconduct.		
(12)		<b>Rehabilitation:</b> Considerable time has passed since the acts of professional misconduct occurred followed by subsequent rehabilitation.		
(13)		No mitigating circumstances are involved.		
Addi	tion	al mitigating circumstances:		
	Pretrial Stipulation - See "Facts Supporting Mitigating Circumstances" in the attachment hereto a page 9.			
D. E	)isc	ipline:		
(1)		Private reproval (check applicable conditions, if any, below)		
	(a)	Approved by the Court prior to initiation of the State Bar Court proceedings (no public disclosure).		
<u>or</u>	(b)	Approved by the Court after initiation of the State Bar Court proceedings (public disclosure).		
(2)	$\boxtimes$	Public reproval (Check applicable conditions, if any, below)		
E. 0	Con	ditions Attached to Reproval:		
(1)	$\boxtimes$	Respondent must comply with the conditions attached to the reproval for a period of <b>one year</b> .		

(Do n	ot writ	e above this line.)	
(2)	$\boxtimes$	During the condition period attached to the reproval, Respondent must comply with the provisions of the State Bar Act and Rules of Professional Conduct.	
(3)		Within ten (10) days of any change, Respondent must report to the Membership Records Office of the State Bar and to the Office of Probation of the State Bar of California ("Office of Probation"), all changes of information, including current office address and telephone number, or other address for State Bar purposes, as prescribed by section 6002.1 of the Business and Professions Code.	
(4)	$\boxtimes$	Within thirty (30) days from the effective date of discipline, Respondent must contact the Office of Probation and schedule a meeting with Respondent's assigned probation deputy to discuss these terms and conditions of probation. Upon the direction of the Office of Probation, Respondent must meet with the probation deputy either in-person or by telephone. During the period of probation, Respondent must promptly meet with the probation deputy as directed and upon request.	
(5)		Respondent must submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the condition period attached to the reproval. Under penalty of perjury, Respondent must state whether Respondent has complied with the State Bar Act, the Rules of Professional Conduct, and all conditions of the reproval during the preceding calendar quarter. Respondent must also state in each report whether there are any proceedings pending against him or her in the State Bar Court and if so, the case number and current status of that proceeding. If the first report would cover less than 30 (thirty) days, that report must be submitted on the next following quarter date, and cover the extended period.	
		In addition to all quarterly reports, a final report, containing the same information, is due no earlier than twenty (20) days before the last day of the condition period and no later than the last day of the condition period.	
(6)		Respondent must be assigned a probation monitor. Respondent must promptly review the terms and conditions of probation with the probation monitor to establish a manner and schedule of compliance. During the period of probation, Respondent must furnish such reports as may be requested, in addition to the quarterly reports required to be submitted to the Office of Probation. Respondent must cooperate fully with the monitor.	
(7)	$\boxtimes$	Subject to assertion of applicable privileges, Respondent must answer fully, promptly and truthfully any inquiries of the Office of Probation and any probation monitor assigned under these conditions which are directed to Respondent personally or in writing relating to whether Respondent is complying or has complied with the conditions attached to the reproval.	
(8)		Within one (1) year of the effective date of the discipline herein, Respondent must provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session.	
		☐ No Ethics School recommended. Reason:	
(9)		Respondent must comply with all conditions of probation imposed in the underlying criminal matter and must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office of Probation.	
(10)	$\boxtimes$	Respondent must provide proof of passage of the Multistate Professional Responsibility Examination ("MPRE"), administered by the National Conference of Bar Examiners, to the Office of Probation within one year of the effective date of the reproval.	
		☐ No MPRE recommended. Reason:	
(11)		The following conditions are attached hereto and incorporated:	

(Do not write above this line.)					
	Substance Abuse Conditions		Law Office Management Conditions		
	Medical Conditions		Financial Conditions		
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# **ATTACHMENT TO**

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF:

JOSEPH B. MARTIN

CASE NUMBER:

14-C-05478-PEM

### FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that the facts and circumstances surrounding the offense for which he was convicted involved other misconduct warranting discipline.

# Case No. 14-C-05478-PEM (Conviction Proceedings)

### PROCEDURAL BACKGROUND IN CONVICTION PROCEEDING:

- 1. This is a proceeding pursuant to sections 6101 and 6102 of the Business and Professions Code and rule 9.10 of the California Rules of Court.
- 2. On September 25, 2014, the San Francisco District Attorney filed a criminal complaint in the San Francisco County Superior Court, case no. 14025248, charging respondent with one count of violation of Penal Code section 273.5(a) [Domestic Violence], a felony, one count of violation of Penal Code Section 245(a)(4) [Assault With Force Likely to Cause Great Bodily Injury], a felony, and one count of violation of Penal Code section 422 [Criminal Threats], a felony.
- 3. On February 3, 2015, the court granted the San Francisco District Attorney's motion to amend the criminal complaint to include one count of violation of Penal Code section 242 [Battery], a misdemeanor.
- 4. On February 3, 2015, the court entered respondent's plea of nolo contendere to the count of violation of Penal Code section 242 [Battery], a misdemeanor, and based thereon, the court found respondent guilty of that count. Pursuant to a plea agreement, the court dismissed the remaining counts in the furtherance of justice.
- 5. On February 3, 2015, the court suspended the imposition of sentence and placed respondent on formal probation for a period of three years. The court ordered that respondent, among other things, serve three days in jail, with credit for time served, complete 52 weeks of domestic violence counseling, perform 100 hours of community service, and pay a total restitution fine of \$720. A stay away order was also signed and filed in open court.
- 6. On July 9, 2015, the Review Department of the State Bar Court issued an order referring the matter to the Hearing Department for a hearing and decision recommending the discipline to be imposed in the event that the Hearing Department finds that the facts and circumstances surrounding the offense(s) for which Respondent was convicted involved moral turpitude or other misconduct warranting discipline.

### FACTS:

- 7. On the evening of September 20, 2014, respondent went into his son's bedroom, where his wife and son were asleep, and began to yell at his wife. As the argument continued, respondent grabbed his wife's ponytail. Respondent's wife told him that she would join him in the living room, so as to not wake their son, and respondent told his wife "[i]f you lock me out, I'll break down the door and kill you."
- 8. Respondent and his wife continued to argue in their living room. Respondent told his wife that he wanted a divorce, and a further argument regarding custody of their son ensued.
- 9. Respondent's wife attempted to return to their son's room, but respondent grabbed her by the waist and put her in a bear hug. Respondent then opened the door, pushed his wife outside, and locked the door. While respondent was closing the door, he saw his wife fall down a few steps.
- 10. Respondent heard his wife call 911, to which he responded "what the fuck are you doing?!" Respondent's wife then took off running, while barefoot and on the phone with 911, when her cell phone died.
- 11. At approximately 11:05 p.m., officers of the San Francisco Police Department ("SFPD") responded in full uniform to a possible domestic violence incident between respondent and his wife at respondent's home. When SFPD arrived on scene, they were initially unable to locate respondent's wife. The officers spoke to respondent who told them that he was in the process of separating from his wife, and that he had locked her out of their home. The initial investigation was closed due to the officers' inability to locate the reporting party.
- 12. At approximately 11:26 p.m., SFPD was advised that respondent's wife was at the Taraval police station. Officers met with respondent's wife and interviewed her. The officers then drove respondent's wife back to the residence, where she collected some belongings and her son. Respondent was not arrested at this time.
- 13. On September 22, 2014, at approximately 11:00 p.m., respondent's wife returned to the Taraval police station and filed a criminal complaint against respondent regarding the September 20, 2014 incident. Respondent's wife showed the officer on duty bruises on her legs which she claimed were caused by respondent pushing her two days earlier. The officer took photos of respondent's wife's purported injuries, contacted a commissioner and obtained a temporary restraining order against respondent.
- 14. SFPD officers then went to respondent's home and arrested him. Respondent was booked at Taraval station for domestic violence and terrorist threats.

### CONCLUSIONS OF LAW:

15. The facts and circumstances surrounding the above-described violation did not involve moral turpitude but did involve other misconduct warranting discipline.

# FACTS SUPPORTING MITIGATING CIRCUMSTANCES.

**Pretrial Stipulation:** Respondent is entitled to mitigation for entering into a full stipulation with the Office of Chief Trial Counsel prior to trial, thereby saving State Bar Court time and resources. (Silva-Vidor v. State Bar (1989) 49 Cal.3d 1071, 1079 [where mitigative credit was given for entering into a stipulation as to facts and culpability].)

### AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, std. 1.1. All further references to Standards are to this source.) The Standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (In re Silverton (2005) 36 Cal.4th 81, 92, quoting In re Brown (1995) 12 Cal.4th 205, 220 and In re Young (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (In re Naney (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a Standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.) "Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; Blair v. State Bar (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given Standard, in addition to the factors set forth in the specific Standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

Here, respondent was convicted of violating Penal Code section 242 (battery), a misdemeanor. The facts and circumstances surrounding the offenses did not involve moral turpitude. Therefore, Standard 2.16(b) applies to this case. Standard 2.16(b) provides that "[s]uspension or reproval is the presumed sanction for final conviction of a misdemeanor not involving moral turpitude but involving other misconduct warranting discipline."

A public reproval is consistent with Standard 2.16(b), and appropriate based on the facts and circumstances of this case. Respondent's misconduct is not serious enough to warrant an actual suspension because respondent was convicted of a single misdemeanor, respondent's misconduct is not aggravated by any factors, and respondent is entitled to mitigation for entering into a pretrial stipulation.

In re Otto, a pre-Silverton but post-Standards California Supreme Court decision, is instructive in this matter. In Otto, the respondent attorney was convicted of two felonies: assault by means likely to produce great bodily injury, and infliction of corporal punishment on a cohabitant of the opposite sex,

both of which were reduced to misdemeanors by the trial court. (See 48 Cal. 3d at 971.) The Supreme Court ordered respondent Otto suspended for two years, stayed, conditioned on a two-year probation and six-month actual suspension. Id. at 972.

Here, respondent's misconduct is significantly less egregious than that of respondent Otto because respondent's misconduct did not cause any provable injury, the battery took place over a short period of time, and respondent was only convicted of a single misdemeanor battery charge. Thus, respondent's conduct warrants a substantially lesser level of discipline than that imposed in *Otto*.

Balancing all of the appropriate factors, a public reproval is consistent with the Standards and Otto, and is appropriate taking into consideration the facts and circumstances of this case.

### COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of the Chief Trial Counsel has informed Respondent that as of August 5, 2015, the prosecution costs in this matter are \$2507. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

### **EXCLUSION FROM MCLE CREDIT**

Pursuant to rule 3201, Respondent may <u>not</u> receive MCLE credit for completion of State Bar Ethics School. (Rules Proc. of State Bar, rule 3201.)

n the Matter of: OSEPH B. MARTIN	Case number(s): 14-C-05478-PEM	

# SIGNATURE OF THE PARTIES

By their signatures below, the parties and their counsel, as applicable, signify their agreement with each of the recitations and each of the terms and conditions of this Stipulation Re Facts, Conclusions of Law, and Disposition.

8/14/1		Joseph B. Martin	
Date /	Respondent's Signature	Print Name	
Cugust 14	,2015 Bin	Brian H. Getz	
Date	Respondent's Counsel Signature	Print Name	
8/14/15	5 M	Heather E. Abelson	
Date /	Deputy Trial Counsel's Signature	Print Name	

(Effective July 1, 2015)

(Do not write above this line.)					
In the Matter of: JOSEPH B. MARTIN			Case Number(s): 14-C-05478-PEM		
		REPROVA	AL ORDER		
Finding the attached prejudice	to th	e reproval, IT IS ORDERED that the requeste	interests of Respondent will be served by any conditions ed dismissal of counts/charges, if any, is GRANTED without		
	The stipulated facts and disposition are APPROVED AND THE REPROVAL IMPOSED.				
	The stipulated facts and disposition are APPROVED AS MODIFIED as set forth below, and the REPROVAL IMPOSED.				
		All court dates in the Hearing Department are	e vacated.		
	-				
within 15	days n. (Se	s after service of this order, is granted; or 2) the rule 5.58(E) & (F), Rules of Procedure.) O	s: 1) a motion to withdraw or modify the stipulation, filed his court modifies or further modifies the approved therwise the stipulation shall be effective 15 days after		
Failure to proceedi	o coi ing fe	mply with any conditions attached to this of willful breach of rule 1-110, Rules of Pro	eproval may constitute cause for a separate fessional Conduct.		
au	gu	st 18,2015 Pat	E. Milley		
Date					

### **CERTIFICATE OF SERVICE**

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of San Francisco, on August 18, 2015, I deposited a true copy of the following document(s):

STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING

in a sealed envelope for collection and mailing on that date as follows:  $\boxtimes$ by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at San Francisco, California, addressed as follows: BRIAN H. GETZ LAW OFFFICE BRIAN H GETZ 201 CALIFORNIA ST STE 450 SAN FRANCISCO, CA 94111 by certified mail, No. , with return receipt requested, through the United States Postal Service at , California, addressed as follows: by overnight mail at San Francisco, California, addressed as follows: By personal service by leaving the documents in a sealed envelope or package clearly labeled to identify the attorney being served with a receptionist or a person having charge of the attorney's office, addressed as follows: by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows: Heather E. Abelson, Enforcement, San Francisco Terrie Goldade, Probation, Los Angeles I hereby certify that the foregoing is true and correct. Executed in San Francisco, California, on August 18, 2015.

> Case Administrator State Bar Court