(Do not write above this line.)

#### State Bar Court of California **Hearing Department** Los Angeles **ACTUAL SUSPENSION** Counsel For The State Bar Case Number(s): For Court use only 15-H-12441-DFM Shataka Shores-Brooks **Deputy Trial Counsel** FILED 845 South Figueroa Street Los Angeles, CA 90017 213-765-1091 STATE BAR COURT Bar # 240392 CLERK'S OFFICE LOS ANGELES in Pro Per Respondent **PUBLIC MATTER** Joseph Frieden Hanan 11901 Santa Monica Bivd., #396 Los Angeles, CA 90025 310-694-1890 Submitted to: Assigned Judge Bar # 229936 STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING In the Matter of: JOSEPH FRIEDEN HANAN **ACTUAL SUSPENSION** Bar # 229936 □ PREVIOUS STIPULATION REJECTED A Member of the State Bar of California (Respondent)

Note: All information required by this form and any additional information which cannot be provided in the space provided, must be set forth in an attachment to this stipulation under specific headings, e.g., "Facts," "Dismissals," "Conclusions of Law," "Supporting Authority," etc.

## A. Parties' Acknowledgments:

- (1) Respondent is a member of the State Bar of California, admitted January 23, 2004.
- (2) The parties agree to be bound by the factual stipulations contained herein even if conclusions of law or disposition are rejected or changed by the Supreme Court.
- (3) All investigations or proceedings listed by case number in the caption of this stipulation are entirely resolved by this stipulation and are deemed consolidated. Dismissed charge(s)/count(s) are listed under "Dismissals." The stipulation consists of 12 pages, not including the order.
- (4) A statement of acts or omissions acknowledged by Respondent as cause or causes for discipline is included under "Facts."
- (5) Conclusions of law, drawn from and specifically referring to the facts are also included under "Conclusions of Law".

kwiktag • 197 148 213

<u>(D</u>	o not v	rite above this line.)					
(6	) T ";	The parties must include supporting authority for the recommended level of discipline under the heading "Supporting Authority."					
(7)	) N	No more than 30 days prior to the filing of this stipulation, Respondent has been advised in writing of any pending investigation/proceeding not resolved by this stipulation, except for criminal investigations.					
(8)		ment of Disciplinary Costs—Respondent acknowledges the provisions of Bus. & Prof. Code §§6086.10 & 0.7. (Check one option only):					
		relief is obtained per rule 5.130, Rules of Procedure.					
	B. Aggravating Circumstances [Standards for Attorney Sanctions for Professional Misconduct, standards 1.2(h) & 1.5]. Facts supporting aggravating circumstances are required.						
(1)	(a)	Prior record of discipline  ⊠ State Bar Court case # of prior case :13-0-10190. See attachement, page 9.					
	(b)	□ Date prior discipline effective : April 23, 2014					
	(c)	Rules of Professional Conduct/ State Bar Act violations: Business and Professions Code sections 6068(m) and 6068(i).					
	(d)	□ Degree of prior discipline : Private Reproval					
	(e)	If Respondent has two or more incidents of prior discipline, use space provided below.					
(2)		<b>Intentional/Bad Faith/Dishonesty:</b> Respondent's misconduct was dishonest, intentional, or surrounded by, or followed by bad faith.					
(3)		Misrepresentation: Respondent's misconduct was surrounded by, or followed by, misrepresentation.					
(4)		Concealment: Respondent's misconduct was surrounded by, or followed by, concealment.					
(5)		Overreaching: Respondent's misconduct was surrounded by, or followed by, overreaching.					
(6)		Uncharged Violations: Respondent's conduct involves uncharged violations of the Business and Professions Code, or the Rules of Professional Conduct.					
(7)		<b>Trust Violation:</b> Trust funds or property were involved and Respondent refused or was unable to account to the client or person who was the object of the misconduct for improper conduct toward said funds or property.					

(Do	not w	rite above this line.)			
(8)		] Harm: Respondent's misconduct harmed significantly a client, the public, or the administration of justice.			
(9)		Indifference: Respondent demonstrated indifference toward rectification of or atonement for the consequences of his or her misconduct.			
(10)		Candor/Lack of Cooperation: Respondent displayed a lack of candor and cooperation to victims of his/her misconduct, or to the State Bar during disciplinary investigations or proceedings.			
(11)		<b>Multiple Acts:</b> Respondent's current misconduct evidences multiple acts of wrongdoing. See attachment, page 9.			
(12)		Pattern: Respondent's current misconduct demonstrates a pattern of misconduct.			
(13)		Restitution: Respondent failed to make restitution.			
(14)		Vulnerable Victim: The victim(s) of Respondent's misconduct was/were highly vulnerable.			
(15)		No aggravating circumstances are involved.			
Additional aggravating circumstances:					
C. Mitigating Circumstances [see standards 1.2(i) & 1.6]. Facts supporting mitigating circumstances are required.					
(1)		<b>No Prior Discipline:</b> Respondent has no prior record of discipline over many years of practice coupled with present misconduct which is not likely to recur.			
(2)		No Harm: Respondent did not harm the client, the public, or the administration of justice.			
(3)		Candor/Cooperation: Respondent displayed spontaneous candor and cooperation with the victims of his/her misconduct or `to the State Bar during disciplinary investigations and proceedings.			
(4)		<b>Remorse:</b> Respondent promptly took objective steps demonstrating spontaneous remorse and recognition of the wrongdoing, which steps were designed to timely atone for any consequences of his/her misconduct.			
(5)		<b>Restitution:</b> Respondent paid \$ on in restitution to without the threat or force of disciplinary, civil or criminal proceedings.			
(6)		<b>Delay:</b> These disciplinary proceedings were excessively delayed. The delay is not attributable to Respondent and the delay prejudiced him/her.			
7)		Good Faith: Respondent acted with a good faith belief that was honestly held and objectively reasonable.			
8)	,	Emotional/Physical Difficulties: At the time of the stipulated act or acts of professional misconduct Respondent suffered extreme emotional difficulties or physical or mental disabilities which expert testimony would establish was directly responsible for the misconduct. The difficulties or disabilities were not the product of any illegal conduct by the member, such as illegal drug or substance abuse, and the difficulties or disabilities no longer pose a risk that Respondent will commit misconduct.			

(9)	(Do	not w	rite al	oove this	s line.)			
(10)  ☐ Family Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her personal life which were other than emotional or physical in nature.  (11)  ☐ Good Character: Respondent's extraordinarily good character is attested to by a wide range of reference in the legal and general communities who are aware of the full extent of his/her misconduct.  (12)  ☐ Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.  (13)  ☐ No mitigating circumstances are involved.  Additional mitigating circumstances:  Pre-trial Stipulation- See attachment page 9.  D. Discipline:  (1)  ☐ Stayed Suspension:  (a)  ☐ Respondent must be suspended from the practice of law for a period of one year.  i. ☐ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. ☐ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. ☐ and until Respondent does the following:  (b)  ☐ The above-referenced suspension is stayed.  (2)  ☐ Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3)  ☐ Actual Suspension:  (a)  ☐ Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. ☐ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1). Standards for Attorney Sanctions for Professional Misconduct  ii. ☐ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulat	(9)		W	Severe Financial Stress: At the time of the misconduct, Respondent suffered from severe financial stress which resulted from circumstances not reasonably foreseeable or which were beyond his/her control and which were directly responsible for the misconduct.				
in the legal and general communities who are aware of the full extent of his/her misconduct.  (12)  Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred followed by convincing proof of subsequent rehabilitation.  (13)  No mitigating circumstances are involved.  Additional mitigating circumstances:  Pre-trial Stipulation- See attachment page 9.  D. Discipline:  (1)  Stayed Suspension:  (a)  Respondent must be suspended from the practice of law for a period of one year.  i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii.  and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii.  and until Respondent does the following:  (b)  The above-referenced suspension is stayed.  (2)  Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3)  Actual Suspension:  (a)  Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	(10)	) [	] Fa	amily ersona	nily Problems: At the time of the misconduct, Respondent suffered extreme difficulties in his/her			
tollowed by convincing proof of subsequent rehabilitation.  (13)  No mitigating circumstances:  Pre-trial Stipulation- See attachment page 9.  D. Discipline:  (1)  Stayed Suspension:  (a)  Respondent must be suspended from the practice of law for a period of one year.  i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii.  and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii.  and until Respondent does the following:  (b)  The above-referenced suspension is stayed.  (2)  Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3)  Actual Suspension:  (a)  Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii.  and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	(11)			ood C the le	od Character: Respondent's extraordinarily good character is attested to by a wide range of references			
Additional mitigating circumstances:  Pre-trial Stipulation- See attachment page 9.  D. Discipline:  (1) Stayed Suspension:  (a) Respondent must be suspended from the practice of law for a period of one year.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. and until Respondent does the following:  (b) The above-referenced suspension is stayed.  (2) Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) Actual Suspension:  (a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	(12)		Re	Rehabilitation: Considerable time has passed since the acts of professional misconduct occurred				
Pre-trial Stipulation- See attachment page 9.  D. Discipline:  (1) Stayed Suspension:  (a) Respondent must be suspended from the practice of law for a period of one year.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. and until Respondent does the following:  (b) The above-referenced suspension is stayed.  (2) Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) Actual Suspension:  (a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	(13)		No mitigating circumstances are involved.					
D. Discipline:  (1)	Add	ition	al m	itigati	ing circumstances:			
(a) Stayed Suspension:  (a) Sepondent must be suspended from the practice of law for a period of one year.  i. □ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. □ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. □ and until Respondent does the following:  (b) ☑ The above-referenced suspension is stayed.  (2) ☑ Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) ☑ Actual Suspension:  (a) ☑ Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. □ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. □ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.		F	Pre-t	rial St	ipulation- See attachment page 9.			
(a) ☑ Respondent must be suspended from the practice of law for a period of one year.  i. □ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. □ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. □ and until Respondent does the following:  (b) ☑ Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) ☑ Actual Suspension:  (a) ☑ Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. □ and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. □ and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	D. C	Disc	ipli	ne:				
i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. and until Respondent does the following:  (b) The above-referenced suspension is stayed.  (2) Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) Actual Suspension:  (a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.	(1)	$\boxtimes$	Sta	ayed S	Suspension:			
fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1) Standards for Attorney Sanctions for Professional Misconduct.  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.  iii. and until Respondent does the following:  (b) Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) Actual Suspension:  (a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.		(a)	$\boxtimes$	Res	spondent must be suspended from the practice of law for a period of one year.			
this stipulation.  iii.  and until Respondent does the following:  (b)  Probation:  Respondent must be placed on probation for a period of two years, which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3)  Actual Suspension:  (a)  Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.  i.  and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii.  and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.			i.		fitness to practice and present learning and ability in the general law pursuant to standard			
<ul> <li>(b)</li></ul>			ii.		and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.			
<ul> <li>(2)</li></ul>			iii.		and until Respondent does the following:			
Respondent must be placed on probation for a period of <b>two years</b> , which will commence upon the effective date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)  (3) Actual Suspension:  (a) Respondent must be actually suspended from the practice of law in the State of California for a period of <b>ninety days</b> .  i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.		(b)	$\boxtimes$	The	above-referenced suspension is stayed.			
<ul> <li>date of the Supreme Court order in this matter. (See rule 9.18, California Rules of Court)</li> <li>(3) Actual Suspension: <ul> <li>(a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.</li> <li>i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct</li> <li>ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.</li> </ul> </li> </ul>	(2)	$\boxtimes$	Probation:					
<ul> <li>(a) Respondent must be actually suspended from the practice of law in the State of California for a period of ninety days.</li> <li>i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct</li> <li>ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.</li> </ul>		Res date	pond of the	lent m ne Sup	ust be placed on probation for a period of <b>two years</b> , which will commence upon the effective preme Court order in this matter. (See rule 9.18, California Rules of Court)			
<ul> <li>i. and until Respondent shows proof satisfactory to the State Bar Court of rehabilitation and fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct</li> <li>ii. and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.</li> </ul>	(3)	$\boxtimes$	Acti	ıal Su	spension:			
fitness to practice and present learning and ability in the general law pursuant to standard 1.2(c)(1), Standards for Attorney Sanctions for Professional Misconduct  ii.   and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.		(a)	$\boxtimes$	Resp	pondent must be actually suspended from the practice of law in the State of California for a period nety days.			
this stipulation.			i.		fitness to practice and present learning and ability in the general law pursuant to standard			
iii.  and until Respondent does the following: .			ii.		and until Respondent pays restitution as set forth in the Financial Conditions form attached to this stipulation.			
			iii.		and until Respondent does the following: .			

of Probation.

(9)

Respondent must comply with all conditions of probation imposed in the underlying criminal matter and

must so declare under penalty of perjury in conjunction with any quarterly report to be filed with the Office

No Ethics School recommended. Reason:

(10) The following conditions are attached hereto and incorporated:

-		te above	this line.)			
			Substance Abuse Conditions		Law Office Management Conditions	
			Medical Conditions		Financial Conditions	
F.	Othe	r Con	ditions Negotiated by the I	Parties:		
(1)		tne Con one furti	Multistate Professional Responsible ference of Bar Examiners, to the C year, whichever period is longer.	ility Examination  Office of Proba  Failure to pas	ion: Respondent must provide proof of passage of on ("MPRE"), administered by the National ition during the period of actual suspension or withing se the MPRE results in actual suspension witho o), California Rules of Court, and rule 5.162(A) &	
			lo MPRE recommended. Reason:	•		
(2)		Rule 9.20, California Rules of Court: Respondent must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 30 and 40 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.				
(3)		days perfo	Conditional Rule 9.20, California Rules of Court: If Respondent remains actually suspended for 90 days or more, he/she must comply with the requirements of rule 9.20, California Rules of Court, and perform the acts specified in subdivisions (a) and (c) of that rule within 120 and 130 calendar days, respectively, after the effective date of the Supreme Court's Order in this matter.			
(4)		perio	<b>Credit for Interim Suspension [conviction referral cases only]:</b> Respondent will be credited for the period of his/her interim suspension toward the stipulated period of actual suspension. Date of commencement of interim suspension:			
(5)		Resp anon accep meeti violat	attend a minimum of two meetil ondent's choosing, including wi ymous, LifeRing, S.M.A.R.T, S.O otable if they include a subcultur ings. (See O'Conner v. Californi ion where probationer given cho uraged, but not required, to obta	nd during the period of probation, Respondent h of any abstinence-based self help group of on Alcoholics Anonymous, Narcotics er self-help maintenance programs are recovery, including abstinence-based group 1994) 855 F. Supp. 303 [no First Amendment AA and secular program.]) Respondent is r" during the term of participation in these		
		The p	rogram called "Moderatiom Man I and allows the participant to co	agement" is ontinue cons	not acceptable because it is not abstinence- uming alcohol.	
		Respo	ondent has selected prior to atte	nding the firs irst obtain th	and obtain written approval for the program of self-help group meeting. If Respondent want of Office of Probation's written approval prior to	
		meetii	ndent must provide to the Officency of the officency of the orth herein with each quently ondent may not sign as the verification.	iarterly repor	n satisfactory proof of attendance of the t submitted to the Office of Probation. attendance.	
		abstai	ndent is encouraged, but not red n from alcohol and illegal drugs ence.	quired, to par , and to unde	ticipate in the Lawyers' Assistance Program, to	

#### **ATTACHMENT TO**

## STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION

IN THE MATTER OF:

JOSEPH FRIEDEN HANAN

CASE NUMBER:

15-H-12441

## FACTS AND CONCLUSIONS OF LAW.

Respondent admits that the following facts are true and that he is culpable of violations of the specified statutes and/or Rules of Professional Conduct.

#### Case No. 15-H-12441

#### FACTS:

- 1. On April 2, 2014, the State Bar Court filed an Order approving a Stipulation Re Facts, Conclusions of Law and Disposition ("Stipulation") between respondent and the State Bar of California in case no. 13-O-10190 ("Reproval Order") and imposing upon respondent a private reproval with conditions for one (1) year.
- 2. Pursuant to the Reproval Order, respondent was required to comply with the following conditions, among others: contact the Office of Probation and schedule a meeting with the assigned Probation Deputy within 30 days of the effective date of discipline; submit written quarterly reports to the Office of Probation on each January 10, April 10, July 10, and October 10 of the condition period attached to the reproval and a final report no later than the last day of the condition period; attend a minimum of two meetings per month of any abstinence-based self-help group and provide satisfactory proof of attendance with each quarterly report submitted to the Office of Probation; provide to the Office of Probation satisfactory proof of attendance at a session of the Ethics School, and passage of the test given at the end of that session within one year of the effective date of discipline; and provide to the Office of Probation proof of passage of the Multistate Professional Responsibility Examination ("MPRE") within one year of the effective date of discipline.
  - 3. The Reproval Order took effect on April 23, 2014.
- 4. On April 3, 2014, Probation Deputy Michael Kanterakis of the Office of Probation sent a reminder letter to respondent's official State Bar membership address, which included a copy of relevant portions of the Stipulation, and which outlined the various conditions respondent was responsible for completing by specific deadlines. Respondent received the letter, contacted the Probation Deputy on April 10, 2014 and met with the Probation Deputy telephonically on April 17, 2014. During the meeting, the Probation Deputy reviewed the conditions of the Reproval Order with respondent, including the due dates for completion of the conditions.
- 5. Respondent did not timely submit to the Office of Probation his January 10, 2015 quarterly report and proof of attendance at the required self-help group meetings.
  - 6. Respondent filed the January 10, 2015 quarterly report and proof of attendance at the

required self-help group meetings on January 13, 2015.

- 7. Respondent's July 10, 2014, October 10, 2014 and April 10, 2015 quarterly reports were timely submitted.
- 8. Respondent did not timely submit to the Office of Probation his April 23, 2015 final report and proof of attendance at the required self-help group meetings.
- 9. Respondent filed his final report and proof of attendance at the required self-help group meetings on April 27, 2015.
- 10. Respondent failed to attend a session of State Bar Ethics School, pass the test given at the end of the session, and submit satisfactory proof of same to the Office of Probation by April 23, 2015 as required by the Reproval Order.
- 11. Respondent failed to take and pass the MPRE and submit proof of the same to the Office of Probation by April 23, 2015 as required by the Reproval Order.
- 12. In his final report, Respondent stated under penalty of perjury that he had complied with all provisions of the State Bar Act, Rules of Professional Responsibility, and all conditions of the reproval during the period April 1 through April 23, 2015. Respondent also stated that he was registered for the June 4, 2015 Ethics School course and the August 15, 2015 MPRE. These statements were false, and respondent knew that the statements were false when he made them.
- 13. Respondent had not complied with all conditions of the reproval during the period covered by the final report in that he did not timely file a final report and proof of attendance at the required self-help group meetings and did not submit proof of completion of Ethics School and passage of the MPRE.
- 14. Further, respondent never registered for the June 4, 2015 Ethics School session and never registered for the August 15, 2015 MPRE.
  - 15. Respondent has failed to complete Ethics School and has failed to take and pass the MPRE.

#### CONCLUSIONS OF LAW:

- 16. By failing to timely submit the quarterly report due January 10, 2015, failing to timely submit proof of attendance at the required self-help group meetings by January 10, 2015, failing to timely submit his final report due April 23, 2015, failing to timely submit proof of attendance at the required self-help group meetings by April 23, 2015, failing to take and pass the MPRE and submit satisfactory proof of same by April 23, 2015, and failing to attend Ethics School and pass the test at the end of the session and submit satisfactory proof of same by April 23, 2015, Respondent failed to comply with the conditions of his private reproval in willful violation of Rules of Professional Conduct, rule 1-110.
- 17. By stating in writing under penalty of perjury in his final report to the Office of Probation that he had complied with all conditions of his reproval during the period from April 1, 2015 through April 23, 2015 and that he had registered for the June 4, 2015 Ethics School and the August 15, 2015 MPRE when he knew that the statements were false, respondent committed an act involving moral turpitude, dishonesty or corruption, in willful violation of Business and Professions Code section 6106.

## AGGRAVATING CIRCUMSTANCES.

Prior Record of Discipline (Std. 1.5(a)): Respondent has one (1) prior discipline, which is the basis of the reproval violation. Pursuant to the Reproval Order filed on April 2, 2014, respondent received a private reproval with conditions for one (1) year. Respondent's misconduct consisted of violations of Business and Professions Code sections 6068(m) (failure to communicate) and 6068(i) (failure to cooperate in a disciplinary investigation). Respondent's misconduct occurred between June 2012 and March 2013. The mitigating circumstances were that respondent was suffering emotional/physical difficulties arising from his abuse of prescription drugs at the time of the misconduct but subsequently began treatment at a drug abuse and addition facility and also that he entered into a pre-filing stipulation. There were no aggravating factors.

Multiple Acts of Misconduct (Std. 1.5(b)): Respondent engaged in multiple violations of the conditions attached to the reproval in case no. 13-O-10190. Respondent failed to timely submit two (2) reports, failed to timely submit proof of attendance at the required self-help group meetings, and failed to complete Ethics School and the MPRE within one (1) year of the effective date of his discipline or at any time. Furthermore, Respondent made misrepresentations on his final report. These multiple acts of misconduct constitute an aggravating factor pursuant to Standard 1.5(b). (See In the Matter of Tiernan (Review Dept. 1996) 3 Cal. State Bar Ct. Rptr. 523, 529 [holding that failure to cooperate with probation monitor and failure to timely file probation reports constituted multiple acts of misconduct].)

#### MITIGATING CIRCUMSTANCES.

**Pretrial Stipulation:** Respondent is entitled to mitigation for entering into this stipulation prior to trial, thereby acknowledging and accepting responsibility for his misconduct and preserving State Bar time and resources. (Silva-Vidor v. State Bar (1989) 49 Cal.3d 1071, 1079 [where mitigating credit was given for entering into a stipulation as to facts and culpability].)

## AUTHORITIES SUPPORTING DISCIPLINE.

The Standards for Attorney Sanctions for Professional Misconduct "set forth a means for determining the appropriate disciplinary sanction in a particular case and to ensure consistency across cases dealing with similar misconduct and surrounding circumstances." (Rules Proc. of State Bar, tit. IV, Stds. for Atty. Sanctions for Prof. Misconduct, Std. 1.1. All further references to Standards are to this source.) The Standards help fulfill the primary purposes of discipline, which include: protection of the public, the courts and the legal profession; maintenance of the highest professional standards; and preservation of public confidence in the legal profession. (See Std. 1.1; *In re Morse* (1995) 11 Cal.4th 184, 205.)

Although not binding, the Standards are entitled to "great weight" and should be followed "whenever possible" in determining level of discipline. (In re Silverton (2005) 36 Cal.4th 81, 92, quoting In re Brown (1995) 12 Cal.4th 205, 220 and In re Young (1989) 49 Cal.3d 257, 267, fn. 11.) Adherence to the Standards in the great majority of cases serves the valuable purpose of eliminating disparity and assuring consistency, that is, the imposition of similar attorney discipline for instances of similar attorney misconduct. (In re Naney (1990) 51 Cal.3d 186, 190.) If a recommendation is at the high end or low end of a Standard, an explanation must be given as to how the recommendation was reached. (Std. 1.1.)

"Any disciplinary recommendation that deviates from the Standards must include clear reasons for the departure." (Std. 1.1; Blair v. State Bar (1989) 49 Cal.3d 762, 776, fn. 5.)

In determining whether to impose a sanction greater or less than that specified in a given Standard, in addition to the factors set forth in the specific Standard, consideration is to be given to the primary purposes of discipline; the balancing of all aggravating and mitigating circumstances; the type of misconduct at issue; whether the client, public, legal system or profession was harmed; and the member's willingness and ability to conform to ethical responsibilities in the future. (Stds. 1.7(b) and (c).)

In this matter, respondent admits to committing two acts of professional misconduct. Standard 1.7(a) requires that where an attorney "commits two or more acts of misconduct and the Standards specify different sanctions for each act, the most severe sanction must be imposed."

The most severe sanction applicable to respondent's misconduct is found in Standard 2.11, which applies to respondent's commission of an act of moral turpitude in violation of Business and Professions Code section 6106. Standard 2.11 states:

Disbarment or actual suspension is the presumed sanction for an act of moral turpitude, dishonesty, fraud, corruption, intentional or grossly negligent misrepresentation, or concealment of a material fact. The degree of sanction depends on the magnitude of the misconduct and the extent to which the misconduct harmed or misled the victim, which may include the adjudicator; the impact on the administration of justice, if any; and the extent to which the misconduct related to the member's practice of law.

Here, respondent failed to timely file two reports, failed to timely file proof of attendance at self-help group meetings with two reports, and failed to complete Ethics School and the MPRE within (1) year of the effective date of his discipline in case no. 13-O-10190. He also made misrepresentations in his final report filed on April 27, 2015 that he was registered for the June 4, 2015 Ethics School and the August 15, 2015 MPRE when he knew that this was false. Respondent's misconduct related directly to the practice of law in that it arose from his failure to comply with a disciplinary order.

Furthermore, Standard 1.8(a) requires that:

If a member has a single prior record of discipline, the sanction must be greater than the previously imposed sanction unless the prior discipline was so remote in time and the previous misconduct was not serious enough that imposing greater discipline would be manifestly unjust.

The burden is on respondent to show that his prior discipline is remote and the offense for which it was imposed was not serious enough. (See In re Silverton, supra, 36 Cal. 4th at p. 92.) Neither of these exceptions to Standard 1.8(a) apply. Respondent has a prior record of discipline that is both recent and serious. Respondent's prior discipline resulted from respondent's failure to respond timely to inquiries of his client and to cooperate with the State Bar during a disciplinary investigation.

Respondent has one mitigating factor. Respondent's misconduct, however, is aggravated by a prior record of discipline and multiple acts of misconduct. In light of the facts of the misconduct, and the aggravating circumstances which outweigh the mitigating circumstance, and in order to protect the public, the courts and the legal profession, to maintain the highest professional standards, and to

preserve public confidence in the legal profession, a period of actual suspension from the practice of law at the lower end of the range of discipline suggested by Standard 2.11 is appropriate. Respondent should be suspended from the practice of law for one (1) year, stayed, and placed on probation for two (2) years with conditions including that he be actually suspended from the practice of law during the first ninety (90) days of his probation.

Case law supports this result. In Conroy v. State Bar (1990) 51 Cal.3d 799, the Supreme Court ordered a sixty (60) day actual suspension for a violation of a single private reproval condition (failure to timely complete the professional responsibility exam requirement). The Supreme Court found that respondent's misconduct was aggravated by his failure to participate in the disciplinary proceedings until filing a writ with the Supreme Court, his lack of remorse by suggesting that his misconduct was a mere technical lapse, and his prior discipline. The court also found no substantial mitigation.

In Bach v. State Bar (1987) 43 Cal.3d 848, the Supreme Court ordered a sixty (60) day actual suspension for misleading a judge. The attorney in Bach was found culpable of making misrepresentations to a judge regarding an order issued by another judge in the same matter. The attorney in Bach had one prior record of discipline in which he received a private reproval.

Here, respondent's misconduct is a combination of the misconduct in *Conroy* and *Bach*. Similar to Conroy, respondent violated conditions of his reproval, though respondent violated multiple conditions while Conroy violated only one. Similar to Bach, respondent made misrepresentations to the Office of Probation and at no point made any effort to correct his misrepresentations. Since respondent's conduct is more aggravated than that in *Conroy* and *Bach*, a level of discipline greater than that imposed in either of those cases is appropriate.

#### COSTS OF DISCIPLINARY PROCEEDINGS.

Respondent acknowledges that the Office of Chief Trial Counsel has informed respondent that as of November 20, 2015, the prosecution costs in this matter are \$3,584. Respondent further acknowledges that should this stipulation be rejected or should relief from the stipulation be granted, the costs in this matter may increase due to the cost of further proceedings.

#### **EXCLUSION FROM MCLE CREDIT**

Pursuant to rule 3201, respondent may <u>not</u> receive MCLE credit for completion of State Bar Ethics School. (Rules Proc. of State Bar, rule 3201.)

(Do not write above this line	9.}	
In the Matter of: Joseph Frieden Hai	Case number(s): 15-H-12441	
By their signatures bel	SIGNATURE OF THE P ow, the parties and their counsel, as applicable the terms and conditions of this Stimulation R	e, signify their agreement with each of the
11/27/15 Date	Respondent's Signature	Joseph Frieden Hanan  Print Name
Date	Respondent's Counsel Signature	Print Name
/3//5 Date	Deputy Trial Counsel's Signature	Shataka Shores-Brooks Print Name

1	latter of: Frieden Hanan	Case Number(s): 15-H-12441
	ACT	TUAL SUSPENSION ORDER
Finding the	ne stipulation to be fair to the partied dismissal of counts/charges, if ar	es and that it adequately protects the public, IT IS ORDERED that the ny, is GRANTED without prejudice, and:
8	The stipulated facts and disposureme Court.	osition are APPROVED and the DISCIPLINE RECOMMENDED to the
	The stipulated facts and dispo	osition are APPROVED AS MODIFIED as set forth below, and the DED to the Supreme Court.
2	All Hearing dates are vacated	l.
vithin 15 o stipulation of the Suj	days after service of this order, is g . (See rule 5.58(E) & (F), Rules of	approved unless: 1) a motion to withdraw or modify the stipulation, filed granted; or 2) this court modifies or further modifies the approved Procedure.) The effective date of this disposition is the effective date ally 30 days after file date. (See rule 9.18(a), California Rules of
Court.)		
l Date	2/11/15	DONALD F. MILES
Jaie		Judge of the State Bar Court

#### CERTIFICATE OF SERVICE

[Rules Proc. of State Bar; Rule 5.27(B); Code Civ. Proc., § 1013a(4)]

I am a Case Administrator of the State Bar Court of California. I am over the age of eighteen and not a party to the within proceeding. Pursuant to standard court practice, in the City and County of Los Angeles, on December 11, 2015, I deposited a true copy of the following document(s):

# STIPULATION RE FACTS, CONCLUSIONS OF LAW AND DISPOSITION AND ORDER APPROVING ACTUAL SUSPENSION

in a sealed envelope for collection and mailing on that date as follows:

by first-class mail, with postage thereon fully prepaid, through the United States Postal Service at Los Angeles, California, addressed as follows:

JOSEPH F. HANAN 11901 SANTA MONICA BLVD. #396 LOS ANGELES, CA 90025

by interoffice mail through a facility regularly maintained by the State Bar of California addressed as follows:

## SHATAKA SHORES-BROOKS, Enforcement, Los Angeles

I hereby certify that the foregoing is true and correct. Executed in Los Angeles, California, on December 11, 2015.

Tammy Cleaver Case Administrator State Bar Court