

THE STATE BAR OF CALIFORNIA  
OFFICE OF CHIEF TRIAL COUNSEL  
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FILED H2

April 8, 2019

STATE BAR COURT  
CLERK'S OFFICE  
LOS ANGELES

## Public Matter

IN THE STATE BAR COURT OF THE STATE BAR OF CALIFORNIA

IN THE MATTER OF THE ) Case No. SBC-19-C-30147  
CONVICTION OF: )  
) Transmittal of Records of Conviction of Attorney (Bus. & Prof.  
STEPHEN JACOB DOUGAN, ) Code §§ 6101-6102; Cal. Rules of Court, rule 9.5 et seq.)  
AKA STEPHEN J. DOUGAN )  
No. 137656 ) (OCTC Case No. 16-C-15144)  
)  
) [ X ] Felony;  
A Member of the State Bar ) [ X ] Crime(s) involved moral turpitude;  
) [ ] Probable cause to believe the crime(s) involved moral  
) turpitude;  
) [ ] Crime(s) which may or may not involve moral turpitude or  
) other misconduct warranting discipline;  
) [ ] Transmittal of Notice of Finality of Conviction.

To the CLERK OF THE STATE BAR COURT:

1. Transmittal of records.

- [ X ] A. Pursuant to the provisions of Business and Professions Code, section 6101-6102 and California Rules of Court, rule 9.5 et seq., the Office of Chief Trial Counsel transmits a certified copy of the record of convictions of the following member of the State Bar and for such consideration and action as the Court deems appropriate:
- [ ] B. Notice of Appeal
- [ ] C. Evidence of Finality of Conviction
- [ ] D. Other

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Name of Member: Stephen Jacob Dougan

Date member admitted to practice law in California: December 7, 1988

Member's Address of Record: SJD & ASSOCIATES

3017 Douglas Blvd., Ste. 300

Roseville, CA 95661-3850

2. Date and court of conviction; offense(s).

The record of conviction reflects that the above-named member of the State Bar was convicted as follows:

Date of entry of conviction: January 28, 2019

Convicting court: United States District Court, Eastern District Of California

Case number(s): 2:16-CR-0145

Crime(s) of which convicted and classification(s): Violation of 26 U.S.C. §7212(a), Corruptly Endeavoring to Impede the Administration of the Internal Revenue, one count, a felony that involves moral turpitude as a matter of law.

A violation of section 7212(a) is punishable by imprisonment of not more than three years. (26 U.S.C. §7212(a).) This is a class E felony. (18 U.S.C. §3559(a)(5).)

A conviction under section 7212(a) requires proof of an attempt to obstruct the administration of the I.R.S. (*U.S. v. Hanson* (9<sup>th</sup> Cir. 1993) 2 F.3d 942, 946.) The conduct amounts to obstruction of justice (*U.S. v. Van Krieken* (9<sup>th</sup> Cir. 1994) 39 F.3d 227, 231) which involves moral turpitude. (*In re Craig* 12 Cal.2d 93, 97 [obstructing or impeding administration of justice involves moral turpitude].)

3. Compliance with Rule 9.20. (Applicable only if checked.)

We bring to the Court's attention that, should the Court enter an order of interim suspension herein, the Court may wish to require the above-named member to comply with the provisions of rule 9.20, California Rules of Court, paragraph (a), within 30 days of the effective date of any such order; and to file the affidavit with the Clerk of the State Bar Court provided for in paragraph (c) of rule 9.20 within 40 days of the effective date of said order, showing the member's compliance with the provisions of rule 9.20.

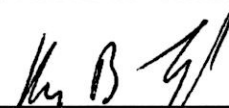
4. Other information to assist the State Bar Court

DOCUMENTS TRANSMITTED:

Indictment  
Verdict Form  
Criminal Docket

THE STATE BAR OF CALIFORNIA  
OFFICE OF CHIEF TRIAL COUNSEL

DATED: April 8, 2019

BY:   
Kevin B. Taylor  
Senior Trial Counsel

A copy of this transmittal and its  
Attachments have been sent to:

Stephen Jacob Dougan  
SJD & ASSOCIATES  
3017 Douglas Blvd., Ste. 300  
Roseville, CA 95661-3850

**DECLARATION OF SERVICE BY CERTIFIED MAIL**

**CASE NUMBER: 16-C-15144**

I, the undersigned, over the age of eighteen (18) years, whose business address and place of employment is the State Bar of California, 180 Howard Street, San Francisco, California 94105, declare that I am not a party to the within action; that I am readily familiar with the State Bar of California's practice for collection and processing of correspondence for mailing with the United States Postal Service; that in the ordinary course of the State Bar of California's practice, correspondence collected and processed by the State Bar of California would be deposited with the United States Postal Service that same day; that I am aware that on motion of party served, service is presumed invalid if postal cancellation date or postage meter date on the envelope or package is more than one day after date of deposit for mailing contained in the affidavit; and that in accordance with the practice of the State Bar of California for collection and processing of mail, I deposited or placed for collection and mailing in the City and County of San Francisco, on the date shown below, a true copy of the within

**TRANSMITTAL OF RECORDS OF CONVICTION OF ATTORNEY, including:**

- Indictment**
- Verdict Form**
- Criminal Docket**

in a sealed envelope placed for collection and mailing as certified mail, return receipt requested, Article No.: 9414 7266 9904 2093 9431 77, at San Francisco, on the date shown below, addressed to:


**Stephen Jacob Dougan  
SJD & ASSOCIATES  
3017 Douglas Blvd Ste 300  
Roseville, CA 95661-3850**

in an inter-office mail facility regularly maintained by the State Bar of California addressed to:

N/A

I declare under penalty of perjury under the laws of the State of California that the foregoing is true and correct. Executed at San Francisco, California, on the date shown below.

DATED: April 8, 2019

Signed:   
\_\_\_\_\_  
Ina M. Strehle  
Declarant